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# 3D printed composite materials for craniofacial implants: current concepts, challenges and future directions

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## Abstract

Millions of craniofacial surgeries are performed annually worldwide for craniofacial bones' replacement and augmentation. This represents a significant economic burden as well as aesthetic expectations. Autografts and allografts are the first choice for treatment of craniofacial defects; however, their limited availability and difficulty to shape have led to investigation for alternative strategies. Biomaterial-based approaches have been used for implantation as they have ample supply but their processing through conventional technologies present several drawbacks; the major one relates to the poor versatility towards the production of patient-specific implants. Additive manufacturing has gained considerable attention during the last decade, as it allows the manufacturing of implants according to patient need. Biomaterial implants can be additively manufactured but have one or more limitations of stress shielding, radiopacity, high strength to weight ratio and limited bone integration. Over the last few decades, composites are investigated to surmount the limitations with traditional implants and also improve their bone integration. This review provides an overview of the most recent polymeric composite-based biomaterials that have been used in combination with 3D printing technology for the development of patient-specific craniofacial implants. Starting with the conventional treatments, biomaterials available for the craniofacial implants, the additive manufacturing rationale are discussed. Also, the main challenges still associated with 3D printing of polymer-based composites are critically reviewed and the future perspective presented.

**Keywords** Composite materials · Additive manufacturing · 3D printing · Craniofacial implants

## 1 Introduction

Every year, approximately 69 million individuals worldwide suffer from traumatic head injuries, which represent an enormous economic burden on medical services [1], and the foremost cause of death and disability [2]. In 2016, ~ 74,000 cases for traumatic head injury were reported in England [3]; these include craniofacial fractures, which may arise when human head and/or face are subjected to impact loading caused by road traffic accidents, sport injuries and antisocial behaviour [4–8]. The fractures caused by trauma are asymmetrical in

shape as compared to congenital defects which are symmetrical [9]. However, the repair in both cases is challenging. Replacement or augmentation of the physical bone is the primary aim of craniofacial surgeries, although qualitative impacts on function of the bone such as issues of swallowing, orbital function, vision, eating, speech and restoration of facial contour symmetry also need to be addressed [2, 9, 10].

Craniofacial surgeries were performed by many ancient civilisations such as Incas, Asians, North Africans, British and Polynesian populations with evidences dating back 7000 BC [11]. However, the first craniofacial surgery in medical literature, based on the use of an implant, was reported by Fallopius and Petronius in the sixteenth century, when gold plates were used to repair cranium defects [12]. This practice did not continue further because the idea of using prosthetics was not well accepted at that time and surgeons preferred to use the patient's own bone tissue. In eighteenth and nineteenth centuries, autografts represented the common practice. In this case, the tissue was explanted from a healthy site and used to treat the injured site. This would not cause any immunogenic complication; however, the extraction of tissue itself was the

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cause of a second injured site. The limited availability of autograft along with the poor specificity in both shape and size are the main drawbacks of this approach. The use of autografts was discontinued and substituted by the introduction of allografts and xenografts, including tissues harvested from cadaveric skulls, bovine, rabbit and canine bones. However, with time also these strategies were precluded, mainly due to their high immune response they caused [12, 13].

During the last century, non-biological prostheses became common for bone tissue repair. In World War I, metals such as silver and gold were considered the best candidates for craniofacial surgery, while in World War II, titanium (Ti) was frequently used [13, 14]. Although metals have been used successfully for many years since, metallic implants do not meet all biomechanical requirements. Particularly, stress shielding around the implant is a frequent issue. This may occur at the interface between metal and bone due to inadequate load transfer and result in the surrounding bone loss [15]. Moreover, metallic based implants may corrode and also cause cytotoxic reactions by releasing metallic ions into the host body. Furthermore, their interference with radiation deriving from imaging techniques affects post-operative follow-up by preventing the monitoring of the healing process [16–18]. To overcome these limitations, bioceramics such as hydroxyapatite (HA) and tri-calcium phosphate (TCP) have been investigated for craniofacial bone repair thanks to their excellent bioactivity and their chemical properties similar to that of natural human bone [19]. However, the low mechanical strength and the brittle nature of bulk ceramics circumscribe their application in bone repair [20]. In order to overcome the limitations of bioceramics, researchers have combined them with other materials. Bioceramics have successfully been used as a coating for metallic implants and to reinforce polymeric matrices [21–23]. Considerable research is on-going to develop materials that have suitable biomechanical characteristics for implantation. Specifically, polyetherether ketone (PEEK), polyetherketone ketone (PEKK), polymethylmethacrylate (PMMA), polyethylene (PE) and their composites [22, 24–26]. In addition to being biocompatible and mechanically strong, they have the advantage over metallic implants of being radiolucent. Hence, the radiographic imaging assessment of their fusion with native bone (i.e. magnetic resonance imaging (MRI), computed tomography (CT) and X-ray scans) is facilitated as opposed to the interference caused by metallic implants [26–28].

Matching the host geometry is important to achieve aesthetic pleasing results and also complimenting the biological function [29]. Traditional manufacturing techniques such as injection moulding [30], casting [31] and extrusion [32] have been used for manufacturing craniofacial implants; however, they are time-consuming and not cost-effective for the production of patient-specific implants, and also the accuracy and precision of injection moulded samples is subjected to shrinkage

control [33, 34]. In the last decade, greater attention has been given to the use of additive manufacturing (AM), and the opportunity this type of technologies offers towards the production of personalised implants [35]. AM, also referred as 3D printing, is a manufacturing technique capable of producing complex 3D geometrical structures in a layer-by-layer fashion, starting from a digital CAD (computer-aided designing) model [36]. Materials ranging from metal, ceramics, polymers and their composites can be processed by additive manufacturing technologies [37]. Nowadays, the use of biocompatible polymers such as PEEK, PEKK and PMMA in combination with additive manufacturing is gaining great interest for the development of custom-made and patient specific craniofacial implants [38, 39], although the processing of polymer-based composites through 3D printing has been only marginally investigated for this specific clinical application [40].

In this review, the evolution of craniofacial implants will be discussed along with an overview of the most recent polymeric composite-based biomaterials that have been used in combination with 3D printing technology for the development of patient-specific craniofacial implants. The main challenges associated with 3D printing of biocompatible polymer-based composites will be critically reviewed. Finally, a roadmap for the future development of such implants will be laid out.

## 2 Anatomical and biomechanical considerations for craniofacial implant development

Craniofacial bones each have a unique physiology and function. This function needs to be considered when manufacturing implants as the functionality of these bones is so integral to our being [38]. Misshapen implants can give rise to social stigmatisation, poor body image and low self-esteem. Improper fitting of the implant can induce chronic pain and other debilitating conditions and seriously impair the quality of life of the patient. It is therefore imperative that the implant fits perfectly the host tissue defect, thus has a patient-specific design and an adequate inner geometry (i.e. porosity and interconnectivity), and is made of biomaterials that reflect the composition of human tissues, both in terms of biological and mechanical properties [38, 41, 42]. Adult human skull is made up of 22 complex bones, categorised into cranial and facial bones. Human skull provides a cavity to support the brain alongside providing structural support to the facial bones [43]. All bones, steadily joined together, provide a packed structure with little movement, except for the temporomandibular joint. Human brain case, known as the cranium, is formed of eight bones: two parietal bones, two temporal bones, one ethmoid bone, one frontal bone, one occipital bone and one sphenoid bone. The other fourteen facial bones support the eyes and the entrances to the digestive and respiratory tracts [43, 44].

At the macroscopic level, human bone is based on two different categories, which differ in composition, apparent density as well as porosity, and they are known as cortical (or compact) and cancellous (or trabecular) bone. These differences lead to variations in bones' mechanical properties depending on the different anatomical location [45]. In addition to this, mechanical properties of human bones have been found to be dependent on gender, age group as well-diseased states. For such reasons, they are generally ascribed in a range of values, rather than a unique number. In Table 1, we list the mechanical properties of human cortical bone. The bone is usually subjected to compressive and tension forces during normal loading in both transverse and longitudinal directions; hence, the strength of the bone is variable in these directions [46]. Tensile strength is a measure of its ability to withstand tensile/pulling forces/loads, whereas compressive strength gives a measure of the compressive loads without failure/fracture of the bone. Young's modulus is a mechanical property that defines stiffness of bone, i.e. deformation in bone shape when load is applied in a uniaxial direction [47]. The varying properties allow user to understand the prerequisite of implant material for the replacement of cranial bone.

Cranial bones are no exception and exhibit different properties as revealed by the wider literature. For instance, frontal bone is thicker, is less porous and has higher percent volume than temporal bone, which in turn increases its fracture toughness [48]. Motherway et al. measured the bending strength of right parietal, left parietal and frontal bones of the cranium, and they found values equal to 82.98 MPa, 78.15 MPa and 102.60 MPa respectively [48], thus indicating the slight variations in mechanical properties between left vs right parietal bones and significant difference between the bending strength of parietal and frontal bone, which is a direct consequence of the differences in porosity and structure of these bones. They also demonstrated that testing speed, sampling position and intracranial variation, all have a significant effect on mechanical performance. Similarly, Evans et al. found tensile strength of right human parietal bone was 12% higher than left parietal bone. By testing the compressive strength of right and left parietal bones, these were found similar in longitudinal direction while in the transverse direction, the compressive strength for the left parietal bone was 16% higher than the right side [49], hence showing variation of properties based on location and the direction of force applied.

As previously mentioned, the shape of cranial bones is asymmetrical and complex; therefore, the anatomical location of the defect dictates specificity in implant design [55]. Moreover, in order to address this variation, craniofacial implants should be designed to follow the contour of the defect and also have fixation plates in order to be attached to the surrounding native skull bone. Implants can be uniaxial if spanning either right or left side of the body or biaxial if traversing across the body midline. Uniaxial implants are easy to design as the unaffected side can be used as a template for designing the graft while biaxial implants are difficult to design as mirroring is not possible [39, 56]. Hence, in order to address the biomechanical requirements, the defect type is another aspect that should be considered during the development process.

Regarding cranium defects, they can range from a few cm<sup>2</sup> to larger than 100 cm<sup>2</sup>. Poukens et al. have classified cranial defects into six categories based on the complexity of designing, size and whether orbital rim is involved [57]. Type I, II and III defects do not involve the orbital rim, which is instead involved for the type IV, V, and VI. The defects with area less than 5 cm<sup>2</sup> are classified as type I defects, greater than 5 cm<sup>2</sup> but less than 100 cm<sup>2</sup> are type II defects, greater than 100 cm<sup>2</sup> are type III defects. If the area is less than 5 cm<sup>2</sup> with orbital involvement, these are classified as type IV, whereas defects with area larger than 100 cm<sup>2</sup> are classified as type V defects. Lastly, type VI defects have area greater than 100 cm<sup>2</sup> with crossing midline of the skull. Based on the different types of cranial defects, materials with different mechanical performance need to be used for the development of craniofacial implants. For example, cranium implants have to protect the brain from external impact and stress forces; hence, they require tough material, whereas for orbital floor implants, which are not subjected to any external forces and support the eye ball only structurally, materials with limited toughness are suitable enough [57].

### 3 Conventional treatments

For centuries, surgeons have attempted to repair craniofacial defects with animal tissues. In 1668, Van Meekeren successfully documented the first procedure grafting a dog skull bone into a war wounded soldier's head without adverse

**Table 1** Mechanical properties of human cortical bone

|                            | Longitudinal direction | Transverse direction | References   |
|----------------------------|------------------------|----------------------|--------------|
| Tensile strength (MPa)     | 133–170                | 43–64                | [50, 51]     |
| Compressive strength (MPa) | 70–280                 | 133–167              | [52–54]      |
| Young's modulus (GPa)      | 11–21                  | 5–13                 | [50, 53, 54] |

immunological response [58], and in 1901, Marchand et al. reported that also animal horns could be accepted as xenografts with no negative response [59]. Later on, bones from other animals such as rabbits, eagles, apes, calves and geese were used as xenografts in humans; however, due to ethical issues these approaches were soon abandoned [60–62]. On the other hand, good success with autografts provided negligible justification for further xenograft applications [59].

Autografts have been used historically for craniofacial defect repair due to their positive immune response, osteogenic cells retention and enhanced healing activity [63–65]. The first autograft-based craniofacial repair was credited to Mr. Walther in 1821 [65]. Following this, in 1889, Seydel reported the first successful reconstruction of human cranium by using tibia bone tissue pieces. Moreover, bones harvested from ribs, scapula, ilium, sternum and fascia were also tested for cranial repair [66]. Although autografts are still considered as the “gold standard” for craniofacial repair, they are not risk-free approaches [25, 67–71]. Piitulainen et al. [72] and Matsuno et al. [73] reported ~ 25% infectious complications following cranioplasty with autografts. Another complication with autografts is native bone resorption after the surgery, which is also dependant on patients age [71, 74, 75]. Bowers et al. reported that 50% paediatric patients, who underwent autograft-based surgery, experienced bone resorption in comparison to only 7% of adults [74]. In addition to these complications, another important aspect that limited the use of autografts over the years related to the poor customizable properties, for which shape and size of the injured tissue were rarely matched. Beyond that, the excision of bone from the donor site engenders an additional injury site, hence leading to longer recovery and extended operating times [39, 76, 77].

The limitations associated with autografts were addressed in 1915, when for the first time Morestin reported the use of cadaver cartilage for craniofacial repair [78]. Cartilage is readily obtainable and easy to shape and its resistance to infection is an additional advantage for cranial bone repair. Several successful cases of cartilage-based cranioplasty were reported by Monroe [79]. However, it was soon realised that cartilage was not mechanically strong and did not show mineralisation potential. Therefore, it was then realised that cartilage was not a suitable material for cranial bone repair [80]. In 1917, Dambrin and Sicard investigated the potential of cadaveric skull for cranial reconstruction [81]; the collected bone was treated with sodium carbonate, alcohol, xylol and ether and ultimately heat sterilised, before implantation, in order to minimise the immune response [81]. However, due to impediments of bone resorption and the high risk of infection, nevertheless, sterilisation measures used to be applied, craniofacial reconstruction with cadaveric skull had limited application [82, 83]. Therefore, the need to find alternative grafts, for which different shapes and sizes could be available readily and which did not initiate adverse immune response upon

grafting was measured of paramount importance. In light of the above-mentioned limitations and in an effort to overcome the life-threatening challenges in craniofacial surgery, the adoption of alternative strategies revealed highly necessary.

## 4 Biomaterials for craniofacial implants

The bone has low thermal conductivity to protect damage to underlying brain tissue from heat transfer from the atmosphere; therefore, the implant material should be analogous to bone. Magnetism of the implant can interfere with the security screening, just as radiolucency interferes with the post-operative imaging assessment [66, 84]. In addition to addressing the limitations of natural grafts, an ideal biomaterial for craniofacial implants should be biocompatible, have low heat conduction and are non-magnetic, radiolucent, durable, easy to process and cost-effective. Several biomaterials have been investigated during the years for craniofacial reconstruction in order to overcome the challenges associated with the use of autografts and allografts; these include metals, ceramics and polymers as well as a combination of them [66]. In this section, the different types of biomaterials currently available, along with their benefits and limitations towards the manufacturing of craniofacial implants, are reviewed and discussed.

Metals such as aluminium, gold, silver, titanium and their alloys, are among the oldest biomaterials used to repair craniofacial defects. Although, many have been abandoned during the years, because of associated medical complications; for example, aluminium caused infections and induced epilepsy in patients who had cranioplasty with this material [85]. In comparison, gold has low complication rates; however, it is very expensive, whereas silver is considered unfavourable due to its poor mechanical properties and high oxidation affinity and thus corrosion behaviour [86]. Surgical titanium grade 5 (Ti-6Al-5V), usually referred as titanium, is the most biocompatible metal and is widely used in cranium fixation devices (such as screws, plates), solid plates and meshes [87]. Though clinical applications of titanium date back to 1966 [88], its widespread use was only found after 1983 when Branemark demonstrated the superior biocompatibility and mechanical properties of this metal [89]. Additionally, titanium and its alloys have high corrosion resistance, good machinability and better mechanical properties in comparison to other metals, thus making them the elected materials in human bone replacement surgery [90]. However, the higher elastic modulus of titanium alloys (~ 110 GPa) with respect to human cortical bone (10–30 GPa) often is the cause of stress shielding effects at the bone–implant interface. Stress shielding occurs when metal implants, such as bone plates and screws, are used to repair fractures or in joint replacement surgery; the higher stiffness of the implant results in bone loss as a consequence of decreased physiologic loading of the bone [15, 91–93]. The



stress shielding effect can be minimised by lowering the elastic modulus and increasing the porosity of the implant [94, 95]. For instance, Oh *et al.* prepared porous titanium implants, with porosity values around 30%, via powder metallurgy and Young's modulus of these decreased to 25 GPa, thus resulting in the human cortical bone range [95]. However, the release of aluminium and vanadium ions from grade 5 Ti alloy in the blood stream limited its use for long-term implantation [96, 97]. Titanium itself is non-toxic both in its ionic and in its particle form, and Ti ions are subjected to renal excretion [98]. However, corrosion product of titanium, called rutile, accumulates in the lymph nodes, liver, spleen, bone marrow and brain, thus leading to systemic toxicity [99]. Few studies have also reported the infectious complications associated with titanium implants, which led to the surgical removal of the implant itself. Bhatt *et al.* had to remove 32 craniofacial mini-plates out of 308 implanted in 153 patients due to infectious complications within 4 years of implantation [100]. Murthy *et al.* had to replace 6 titanium plates out of 163 due to infections from 76 patients over a 10-year period [101]. In addition to infections, which for titanium implants account ~ 7–10% of the overall complications [102, 103], radiopacity is another drawback associated with the use of metal implants. Particularly, this often leads to artefacts during CT and MRI scanning and hence makes it difficult for follow-up medical imaging. Another limitation with metallic biomaterials is their poor biomimetic behaviour, which prevent cell–material communications, and thus the final integration of the implant [104]. In order to overcome this issue, surface treatments as coating or functionalisation have been adopted [104–106]. For instance, Palmquist *et al.* found stronger bone anchorage for laser-modified titanium alloy implants than the machined implants [105, 106]. Metal implants offer a biocompatible and mechanically strong alternative to biologically derived treatment for replacing bone (Table 2), but considering their complications and limitations, the possibility to explore alternative biomaterials has been also examined.

Ceramics such as bioactive glasses (BAGs) and calcium phosphates have been then considered for craniofacial bone tissue repair [107]. The first BAG was synthetically manufactured in 1971 by Hench and afterward commercially known as Bioglass 45S5 [108, 109]. The main components of BAGs, Na<sub>2</sub>O, CaO, SiO<sub>2</sub> and P<sub>2</sub>O<sub>5</sub> support osteoblast cells. BAGs also bond with the host bone soft tissue without formation of fibrous tissue [110]. Their bioactivity, as assessed by Peltola *et al.* in a skull bone defect, revealed new bone formation evident from 4 weeks of implantation [111]. Although the elastic modulus of BAGs (~ 30 GPa) resembles the one of human bone, their amorphous structure makes them brittle and thus inappropriate for load-bearing applications [112]. Another shortcoming with BAGs is their limited processability; they cannot be reshaped during the surgery to improve the fit of the implant if required [112, 113]. Another class of ceramics, calcium phosphates are

highly biocompatible and have chemical compositions and structures that are very similar to the mineral phase of human bone [114]. Such materials can be resorbed through a cell-mediated procedure involving osteoclast activity; for instance, the HA coating on implants is resorbed by the osteoclast activity during bone remodelling [115–117]. Tricalcium phosphates (TCP), e.g. beta-tricalcium phosphate ( $\beta$ -TCP), are a type of calcium phosphate with Ca/P very close to the natural bone tissue. Hence, they provide calcium and phosphorus in readily available form for development of new bone. Both HA and TCP are biocompatible and biodegradable, with HA degradation rate more suitable for orthopaedic applications allowing time for deposition of new bone [118]. Morphometric analysis by Eggli *et al.* revealed that up to 85% of TCP was degraded after 6 months of implantation in rabbits, whereas only 5.4% volume reduction observed with implanted HA in the same period, indicating a better match with the regeneration of new tissue [119]. Bioceramics are advantageous over metals as being more biocompatible and bioactive, although their mechanical properties are lower compared to the native bone, hence limiting their use as load-bearing implant materials and giving more promise as coating and/or reinforcing phase [120, 121]. In 2013, Brie *et al.* implanted HA craniofacial implants into 8 patients prepared via stereolithography and sintering. Since the implants were designed for individual patients the cosmetic results were satisfactory and no fractures or infections were observed up to the 12-month follow-up [19].

Due to an array of limitations observed with metallic and ceramic biomaterials, the use of polymers has been considered as alternative class for craniofacial repair, particularly due to their radiolucency, high strength to weight ratio, good processability and low cost in comparison to metals and ceramics [122]. Moreover, polymers avoid possible artefacts in imaging scanning like CT or MRI due to their radiolucency and being nonmagnetic, thus facilitating unobstructed post-operative clinical diagnoses. Among the others, PMMA, PEEK and PE are the polymers most widely used in craniofacial surgery. Porous PE is soft and frequently applied for the augmentation of facial bone [123, 124]. PMMA was the first synthetic material used for a biomedical application and is now broadly used as prosthetic fixation devices or orthopaedic devices due to its low toxicity and good handle ability during surgery [125, 126]. However, despite the good qualities, PMMA implants show poor adhesion with the surrounding soft tissues [127]. Additionally, a large amount of heat is released during its polymerisation *in vivo*, causing the temperature rise up to 100 °C which may cause damage to the surrounding soft tissues [128]. PEEK is a biocompatible and semi-crystalline thermoplastic polymer, possessing high heat and gamma ray tolerance, which allow for different sterilisation methods [56]. Strength, stiffness and radiolucency of PEEK are comparable to those of cortical bone [129]; however, due to its bioinert and hydrophobic nature, its binding ability with the surrounding tissues after

**Table 2** Summary of different biomaterials for implantation with their material properties

| Biomaterial        | Density (gr/cm <sup>3</sup> ) | Biological response | Degradable | Elastic modulus (GPa) | Ultimate tensile strength (MPa) | Yield strength (MPa) | Bending strength (MPa) | References      |
|--------------------|-------------------------------|---------------------|------------|-----------------------|---------------------------------|----------------------|------------------------|-----------------|
| Co–Cr alloys       | 9.1                           | Biotolerant         | No         | 9.1                   | 1147                            | 629                  |                        | [132, 133]      |
| Stainless steel    | 7.95                          | Biotolerant         | No         | 193                   | 670                             | 366                  |                        | [132, 134]      |
| Titanium alloy     |                               | Bioinert            | No         | 110                   | 960–970                         | 850–900              |                        | [135]           |
| Calcium phosphates |                               | Bioactive           | Yes        | 1.66–2.34             | 6–10                            |                      |                        | [134, 136, 137] |
| Bioactive glasses  | 2.7                           | Bioactive           | Yes        | 35                    |                                 |                      | 42                     | [134, 138]      |
| PEEK               | 1.3                           | Biotolerant         | No         | 4                     | 93                              |                      | 170                    | [133, 139, 140] |
| PMMA               | 1.12                          | Biotolerant         | No         | 1.5–4.1               | 24–49                           |                      | 134                    | [133]           |
| PLA                |                               | Biotolerant         | Yes        | 1.6                   | 70                              |                      |                        | [141]           |
| PCL                | 1.15                          | Biotolerant         | Yes        | 0.3                   | 17                              |                      |                        | [141, 142]      |

Biotolerant, the products released due to corrosion and wear of implant material may lead to toxic or allergic reactions and show fibrous connective tissue attachment; bioinert, the products released due to corrosion and wear of implant are non-toxic and show fibrous connective tissue attachment; bioactive, the products released and the surface of implant encourage cell attachment, differentiation and proliferation; develop a chemical bond of a cohesive nature with bone

implantation is very low [130, 131]. Bioinertness is the common shortcoming that can be extended to the whole class of synthetic polymers and which encouraged researchers to move their focus into a new direction. The physico-chemical and mechanical properties of various biomaterials, for each of the three classes described above, have been summarised in Table 2. This provides a reference for their selection towards the development of an implant according to the requirements of the host tissue that needs to be replaced or repaired.

## 5 Polymeric matrix composite materials

Composite materials are the result of the combination of two or more phases, where the reinforcement element and the matrix are integrated to improve the properties of the final material [143]. Composite materials' properties depend upon the composition and the interaction between the individual phases [144]. Human bone is a clear example of natural composite material, based on mineral HA crystals embedded into an organic collagen phase [145]. In the context of craniofacial repair, recent literature has highlighted the use of composites to tailor the mechanical properties and enhance the bioactivity of the implant [22, 25, 113, 146].

Composites of PMMA have been investigated to address the issues of large amount of heat released during the polymerisation reaction of polymer and its limited osseointegration. For instance, composites of ceramics in PMMA have shown improved bioactivity, increased cell adhesion in vitro and reduction in the amount of heat released during the polymerisation as compared to PMMA alone. Moreover, PMMA composites with BAG, silicates, HA and TCP enhance the mechanical properties of PMMA in addition to its bioactivity [147–156]. HA and TCP incorporation

significantly reduces the amount of heat generated during the polymerisation of PMMA and improve cell attachment [157–159]. For instance, Chu et al. and Fang et al. reported that the incorporation of HA, TCP and chitosan in PMMA significantly reduced the amount of heat released during polymerization [146, 160]. Furthermore, Fang et al. also observed improved in vitro and in vivo osseointegration of PMMA composites with TCP and chitosan [160].

PMMA/BAG composites have shown good bioactivity as well as enhanced mechanical strength [148, 161, 162]. For instance, composite of PMMA and silicate-based bioceramic showed good cell attachment, proper setting time and high mechanical strength as compared to PMMA alone [161]. Hamizah et al. prepared PMMA composites with HA and glass–ceramic that showed apatite layer formation in simulated body fluid (SBF), improved flexural strength and enhanced thermal properties [24]. Moreover, in vivo study by Haach et al. showed improved bioactivity of PMMA composites with HA and BAG; however, compressive strength decreased, although still within an acceptable range for this to be considered a bone substitute [25]. Ku et al. prepared PMMA composite with surface-modified HA, in order to enhance ceramic–polymer phase interaction. HA nanoparticles were modified with either ethylene glycol or  $\epsilon$ -caprolactone before blending with PMMA; this enhanced the compressive strength of the composite along with improved bioactive surface [162]. Furthermore, PMMA/BAG custom-made craniofacial implants were clinically applied to repair calvarial and facial defects; 5-year follow-up found patients' clinical recovery with good aesthetic and functional outcome [113].

Composites of PEEK are becoming popular to enhance its bioactivity and to tailor the final mechanical properties, particularly for load-bearing orthopaedic applications [163]. Carbon-fibre reinforced PEEK (CFR-PEEK) material has

been the first FDA-approved composite of PEEK used for biomedical applications. Elastic modulus and tensile strength of the composite increased with the increase of the percentage of carbon fibres [164–166]. Specifically, they increased from 3.79 GPa and 95.21 MPa to 7.37 GPa and 101.41 MPa respectively, by simply adding 5% w/w/CFR into PEEK matrix [40]. In another study, the addition of 40 wt% HA reduced the mechanical properties of PEEK due to the agglomeration and poor dispersion of ceramic particles in the polymeric matrix; for example, the ultimate tensile strength of PEEK decreased to 45 MPa (i.e. reduction of 45% from 85 MPa, although were still within the range of mechanical strength of human cortical bone [167]). Kim et al. incorporated HA-coated calcium silicate spheres into PEEK to increase its bioactivity and mimic natural bone. They observed increased bioactivity with in vitro apatite formation as well as mechanical properties analogous to native bone [168]. Biomechanical properties of PEEK reinforced with strontium substituted hydroxyapatite (Sr-HA/PEEK) have been also investigated. The results evidenced an increased in vitro mineralisation and bending modulus by using 25–30% w/w of Sr-HA in PEEK [169]. Nano-silica ( $\text{nSiO}_2$ ) and nano-alumina ( $\text{nAl}_2\text{O}_3$ ) have been incorporated into PEEK in amount ranging between the 20 and 50% w/w. The result was an improved mechanical performance both in terms of elastic modulus and tensile strength [170]. Wu et al. prepared composite of PEEK with nano-titania ( $\text{nTiO}_2$ ) and observed better biological properties of the composite with respect to the bare materials, i.e. enhanced cell attachment and increased osteoblast cell spreading [171]. The use of bioactive reinforced polymers is still widely investigated. In a very recent study, Ma et al. found that the addition of nano-hydroxyapatite improved the apatite formation ability of PEEK composites as well as increased the elastic modulus and bending strength in comparison to PEEK alone [172]. However, the manufacturing process Ma et al. adopted for the processing of the composite materials is still far from being patient specific.

## 6 Rationale for the use of additive manufacturing for craniofacial implants

Conventional technologies such as injection moulding, extrusion, forging and compaction have played a key role in manufacturing biomedical implants. Conventional methods of manufacturing can produce standardised parts cheaply and quickly in large quantities, although they cannot produce custom-made parts. AM instead allows the manufacture of complex 3D parts that can also be modified according to patient individual needs. Conventional technologies also struggle to produce parts which are complex and have a high degree of dimensional accuracy, with the need for a dedicated

tool meaning that the cost of producing a part for a specific patient is prohibitive [173].

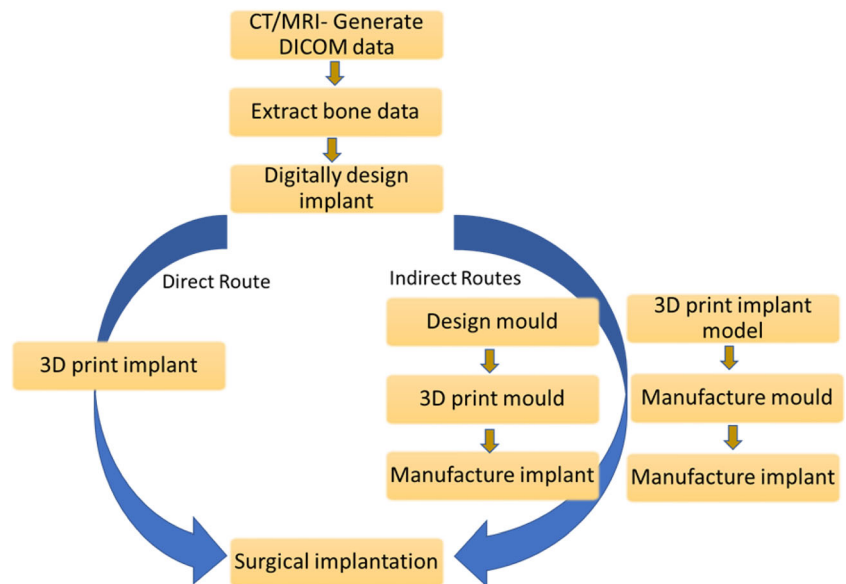
Since its introduction, AM technology makes it possible to produce customisable 3D objects with intricate shapes and great accuracy. Within the biomedical field, AM has allowed the manufacture of patient-specific implants (PSIs) bypassing the requirements of additional tooling and manufacturing cost [174, 175]. In essence, complex shapes are designed by CAD software or derived from CT data, custom-designed and produced with high precision and specific to the needs of the patient, as shown in Fig. 1. One example of this technique in practice is for bone implants. The bone is scanned by CT or MRI and used to generate a 2D Digital Imaging Communication and Medicine (DICOM) of the defected part. This is then converted using 3D design tools (e.g. CAD) into a 3D native format (e.g. Standard Tessellation Language (STL) for further processing [176–178]. STL is a widely accepted software standard and is compatible with CAD software for the manipulation and design of parts for 3D printers. A basic example of this working in practice is a bone being scanned using CT. The information is exported into the DICOM format and the soft tissue data filtered leaving only the bone data. This can then be used to design an implant which corrects the defect. This design is then converted to a 3D processing software package that is used to 3D print the exact structure required for that patient [176].

AM spans a variety of techniques, including extrusion-based printing, laser sintering and photo-polymerisation. In extrusion-based printing, the polymer in the form of filament is fed into the printing head which melts the polymer and extrudes it and the molten polymer is deposited layer after layer horizontally; an example is fused deposition modelling (FDM). In laser sintering, the feedstock is a powder which is deposited in a thin layer. A laser beam melts the powder particles, and another thin layer of powder is deposited on top and the process is repeated, layer upon layer, in order to build 3D structure. Examples of laser sintering include selective laser sintering (SLS), selective laser melting (SLM) and electron beam melting (EBM). Another form of AM is photo-polymerisation, in which the photo-sensitive liquid resin is cured and hardened by using light to produce a three-dimensional structure. Examples of this technique are stereolithography (SLA) and digital light processing (DLP).

Another benefit of AM for manufacture of implants is its versatility that allows the user to control the deposition of material in a three-dimensional space, which enables manufacture of complex shapes with pre-defined porosity. Implants can be designed with well-defined pores or channels. For example, mesh-like structures are produced for cranioplasty of skull defects which have predesigned holes or hinges for screwing to the skull [179]. The ongoing research in processing new biomaterials via 3D printing technologies and for craniofacial implants is described in the latter sections.



**Fig. 1** Design and manufacturing procedure for an implant: direct and indirect routes



## 7 3D printed composite materials for craniofacial implants

In comparison to the production of monophasic parts, AM of composites requires optimisation of printing parameters in order to obtain the required porosity and mechanical strength. In relation to FDM, creating a composite-based filament is complicated, as not only must the diameter of the filament be consistent but also the raw components need to be well dispersed in order to avoid agglomeration that can affect the final print. This is further complicated by the differences in physico-chemical properties between polymer and ceramic phase [142, 180, 181]. For SLS, a fine powder is required with all the powder particles being in a specific size range in order to achieve evenly sized layers. Generally, the finer the powder, the better the surface finish. Prior to SLS printing, pre-processing of materials is necessary for optimal outcome. Researchers have also used techniques such as spray drying and freeze drying (for low-temperature polymers) to remove moisture. Due to the large surface area, atmospheric moisture can be absorbed onto the powder and this can impact the printing quality and the mechanical properties of the final printed parts [182, 183]. Following an extensive review of the current literature, only a few research studies have been found, predominantly based on the use of FDM and SLS as elected procedures for printing composites, and whose findings are summarised in Table 3. The mechanical properties of same formulation composites vary with the fabrication method used as seen in composites of CFR/PEEK [184, 185].

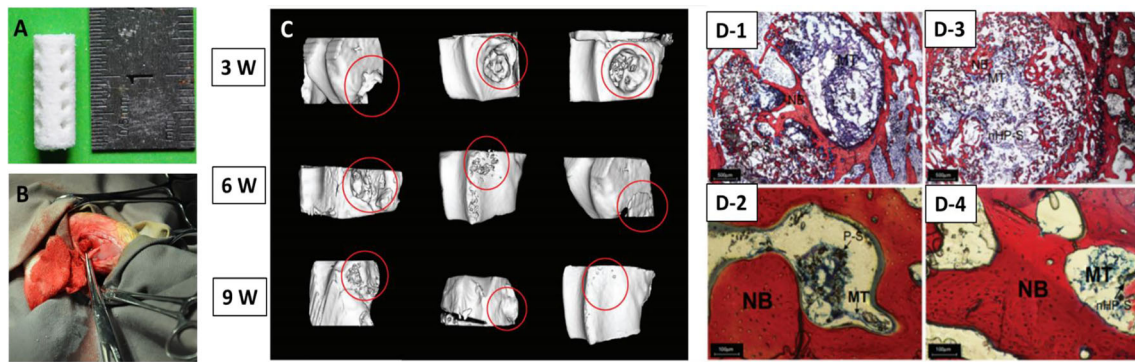
Hao et al. have optimised SLS printing parameters of HA reinforced PE composites, which also showed improved bioactivity of composite implants *in vitro* as HA particle are exposed on surface of the printed scaffold [186]. Subsequent

tests for the biological behaviour include techniques to assess growth of cells and their differentiation, metabolism and the deposition of new bone [183, 186, 187]. Xia et al. assessed the new bone growth via CT scan at 3, 6 and 9 weeks after implantation of 3D printed bioactive scaffolds, based on the combination of PCL and HA, into rabbit femur (Fig. 2). The histology shows higher amount of new bone in defects implanted with PCL composite with 15% HA in comparison to PCL alone, thus demonstrating the actual benefits of low amount of ceramic phase in bone regeneration [183]. Composites of HA with PLGA (poly(lactic-co-glycolic acid)) prepared by Huang et al. showed higher new bone deposition than autologous grafts [188]. Similar bioactivity assessments provided by other research groups show benefits of HA addition to composites for advancement of bioactivity by enhanced cell attachment, metabolism and mineral deposition as compared to pure polymers [21, 189]. The sintered materials have a porous structure with interconnected pores which is useful for ingrowth of bone and soft tissue. HA combined with PVA (polyvinyl acetate) can be processed via SLS to print scaffolds with enhanced bioactivity in comparison to PVA alone [182]. The possibility of 3D printing composites with tailorable bioactivity and mechanical properties proves their suitability as a manufacturing technique for craniofacial implants.

The 3D printing of composites is a promising step towards creation of craniofacial composite-based implants. However, their *in vivo* behaviour should be assessed prior to the clinical trials. The latest research into composites has successfully shown the promising *in vivo* behaviour of composites although not manufactured using AM but with conventional techniques, as listed in Table 4. For instance, Yu et al. and Ma et al. tested the moulded composites of PEEK and HA

**Table 3** Summary of the mechanical and biological properties of 3D printed composite materials as potential craniofacial implants. The % correspond to wt% if not otherwise stated

| Material formulation                           | AM technique   | Mechanical properties   | Biological performance   | References      |
|--|--|---|--|-----------------|
| PCL/HA (5,10 and 15%)                          | SLS  | Compressive strength up to 3.17 MPa   | In vitro—increased apatite formation, cell attachment and ALP activity than PCL scaffold<br>In vivo—enhanced efficiency of new bone formation than PCL scaffolds | [183]           |
| PEEK/CF (5, 10, 15 and 20%)                    | SLS  | Tensile strength 109 MPa and modulus 7.3 GPa (10 wt.% CF)                           |  | [185]           |
| HA/PE (20 vol%) and HA/polyamide* (50 vol%)    | SLS  | Pore size up to 200 $\mu$ m   | Both increased alkaline phosphatase activity, enhanced cell proliferation and have more osteocalcin production in composites                                     | [180, 189, 190] |
| PEEK/CF (5, 20 and 30 wt%)                     | FDM  | Tensile strength 101.4 MPa, modulus 7.37 GPa, compressive strength 137.11 MPa       | Non-cytotoxic  | [40, 184]       |
| PCL/HA (20%)                                   | FDM  | Tensile strength 23.29 MPa and bending strength 21.39 MPa                           |  | [142]           |
| PCL/PLA/TiO <sub>2</sub> (74.25/24.75/1)       | FDM  | Tensile strength 45 MPa   | Non-cytotoxic  | [191]           |
| HA/PLGA (9:1)                                  | Direct writing   |   | 8 mm calvarial defects—fibrous tissue at 8 weeks and new bone formation surrounding the scaffold struts by 12 weeks  | [188]           |
| polyamide 12/Zirconia/ $\beta$ -TCP (60/15/25) | FDM  | Tensile strength 37 MPa, modulus 13.27 GPa, impact strength 12.42 kJ/m <sup>2</sup> | hPDLF cells viability higher on composites than unfilled PA12  | [192]           |
| HA/PEEK  | Extrusion of HA, sintering and compression moulding with PEEK powder | No studies yet  |  | [193]           |



**Fig. 2** Composite scaffold produced by SLS. (a) Sintered PCL/nano-HA 3D porous structure. (b) Implantation of the scaffold into the defect. (c) Micro-CT reconstructions after 3, 6 and 9 weeks of a cavitory defect production. Histological evaluation of new bone formation promoted by

(D-1 and D-2) pure PCL and (D-3 and D-4) 15% nano-HA/PCL 3D printed scaffolds at 9 weeks of implantation at 20× (D-1 and D-3) and 100× (D-2 and D-3) magnification. Reprinted with permission from [183]

with 10, 20, 30 and 40% ceramic content in vitro. The apatite formation ability of composites was higher than PEEK alone after immersion in simulated body fluid [167]. Additionally, they evaluated the cell attachment, proliferation, spreading in vitro and osseointegration in a rabbit cranial defect model, all of which showed improvement with additional ceramic content [167]. In an attempt to improve the bioactivity of PEEK, Lee et al. cold sprayed HA onto PEEK and observed early cell adhesion and increase in viability of hBMSCs [194]. Another group added metal-doped HA to improve bioactivity of compression moulded PEEK; strontium containing composites outperformed HA/PEEK composites for in vitro mineralisation [169]. An alternative to isotropic composites, functionally graded composites were prepared in a 2-step process which advance the cell adhesion. Initially, composites were prepared by addition of HA during PEEK synthesis which gives excellent dispersion of HA in PEEK matrix. Three layers of different HA vol% were stacked in a steel die before hot pressing to create functionally graded PEEK/HA composites [195]. It can be concluded that even with different ways of manufacturing of composite scaffolds, the in vitro and in vivo results show increment in bioactivity with HA inclusion. Further research should be directed towards additively manufacturing of these composites as this should impart the physiological benefits of materials for implantation and will be vital in developing better fitting PSIs ensuring enhancement from off the shelf implants.

## 8 Commercial craniofacial implants

The use of AM in medicine is increasing at a rapid rate and the worldwide market of 3D printing healthcare market size is expected to reach \$3.7 billion by 2026 [202]. Commercial providers have resorted to different types of AM technologies in order to provide custom craniofacial implants from patient medical imaging data. A list of commercially available

craniofacial implants and the related provider is reported in Table 5. Currently available FDA-approved craniofacial implants are made from titanium, PEEK or PMMA and most centres use either off the shelf implants for craniofacial implantation or the custom-made implants from commercial companies. For instance, Stryker uses SLS for production of custom PEEK implants while OXPEKK used SLS for PEKK (polyetherketone ketone). The implants manufactured via AM are designed with prepatterned holes and also lattices which allows for space for soft tissue ingrowth and anchorage and also reduces the weight of the implant. Custom implants have improved fit and reduced operative times in comparison to the off the shelf implants which had to be adjusted in the operating theatre.

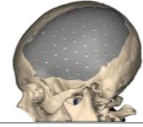

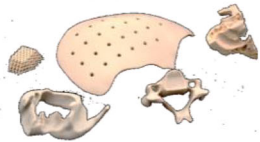





Although, the post-operative results of fit with these custom implants are very promising but there is limited integration with the native bone. Implant–bone integration is vital since transfer of load from implant to the bone keeps it healthy, or else there is progressive weakening of the adjacent bone which may cause implant exposure, infection and ultimately removal [203, 204]. To overcome this barrier, researchers are focussing on use of composites for improving implant–bone integration by increasing the bioactivity of implant materials.

The first step towards a composite craniofacial implant was taken by Swedish company OSSDSIGN, who have developed a composite of Ti mesh overlaid with calcium phosphate composition. The company claims the stability and fixation of implant is provided by the Ti mesh while the ceramic part helps in healing since calcium phosphates can be remodelled by osteoclasts. A retrospective clinical study has shown a reduced infection rate (2%) in a 25-month follow-up and tissue samples demonstrate in situ bone regeneration and osseointegration [196]. Another supplier of FDA-approved PEEK, Invisio Biomaterial Solutions supply PEEK-OPTIMA® for craniofacial implants which are processed via CAD/CAM for PSIs. They also provide PEEK-

**Table 4** In vitro/in vivo behaviour of composites for orthopaedic applications. A summary is shown on the ongoing studies of biocompatible composites for developing long-term implants, which are also non-biodegradable. % refers to weight % if not otherwise stated

| Material formulation                               | Manufacturing technology               |                         | In vitro     |                          | In vivo implantation   |  | Key findings   | Reference |
|--|--|-------------------------|--------------|--------------------------|--|--|--|-----------|
|  | Cell culture                           | Apatite formation assay |              |                          |  |  |  |           |
| Ti/calcium phosphate                               | SLS and precision moulding             |                         |              |                          | PSIs in 50 patients, 25 months follow-up                         |  | <ul style="list-style-type: none"><li>Improved osseointegration</li><li>Transformation of ceramic into vascularised bone</li></ul>   | [196]     |
| Silica/titanium oxide clusters                     | Sol–gel process                        |                         | MG63         | 7 days immersion in SBF  |  |  | <ul style="list-style-type: none"><li>Promotes cell growth</li><li>Increased deposition of salts in SBF</li></ul>  | [197]     |
| PVA/PCL/HA based bioceramic                        | Electrospinning                        |                         | DPSCs, hMSCs | 7 days immersion in SBF  | 8 weeks subcutaneous implantation in immune-deficient mice       |  | <ul style="list-style-type: none"><li>Both cell types formed mineralised matrix</li><li>EDX confirmed apatite deposition after 7 days</li><li>Implants were vascularised as seen by visual inspection</li></ul>  | [198]     |
| PEEK/calcium silicate (40%)                        | Injection moulding                     |                         |              |                          | Implantation in 8 mm defect in rabbit parietal skull for 8 weeks |  | <ul style="list-style-type: none"><li>Promoted osseointegration at the bone/implant interface</li></ul>  | [199]     |
| PEEK/nHA (40%)                                     | Injection moulding                     |                         |              |                          | Implantation in 8 mm defect in rabbit parietal skull for 8 weeks |  | <ul style="list-style-type: none"><li>Promoted osseointegration at the bone/implant interface</li></ul>  | [199]     |
| E-glass fibre/methacry/late-based polymers (65:35) | Fibre layering and polymerisation      |                         | DPSCs, HDFs  |                          | Subcutaneous implantation in 40 male Wistar rats                 |  | <ul style="list-style-type: none"><li>Non-cytotoxic for both cell lines</li></ul>  | [200]     |
| PEEK/HA (10, 20, 30 and 40%)                       | Injection moulding                     |                         | MC3T3-E1     | 28 days immersion in SBF | Implantation in 8 mm defect in rabbit parietal skull for 8 weeks |  | <ul style="list-style-type: none"><li>Improved attachment, proliferation and spreading, higher ALP activity</li><li>Increased apatite formation in SBF</li><li>Higher osseointegration efficiency around composite implants in vivo, although mechanical properties are diminished</li></ul> | [167]     |
| PEEK OPTIMA® HA                                    | Milling of bar stocks                  |                         |              |                          | Implantation in the femurs and tibia of sheep for up to 26 weeks |  | <ul style="list-style-type: none"><li>Incorporation of HA results in direct bone apposition as opposed to fibrous tissue interface with PEEK alone</li></ul>   | [201]     |
| PEEK/nHA (6.5 and 14.5%)                           | Hot pressing of 3D braided PEEK matrix |                         | MC3T3        | 14 days immersion in SBF |  |  | <ul style="list-style-type: none"><li>Higher bioactivity/ apatite deposition</li><li>Improved cell adhesion and viability</li></ul>  | [172]     |

**Table 5** Commercial implants used in craniofacial surgery including PSIs

| Establishment                | Product details  | Images  |
|------------------------------|--|---|
| BioArchitects                | EBM Ti custom craniofacial implants                          |    |
| Ortho Baltic                 | DMLS Ti custom craniofacial implants                         |    |
| Oxford Performance Materials | OsteoFab- OXPEKK™<br>SLS PEKK custom craniofacial prostheses |    |
| DePuy Synthes                | TRUMATCH MatrixMANDIBLE™<br>Off the shelf fixation products  |    |
| Stryker                      | PEEK Customized cranial implant-<br>SLS PEEK                 |   |
| Stryker                      | MEDPOR® Customized cranial implant - Porous PE               |  |
| Stryker                      | PMMA Customized cranial implant                              |  |
| OssDsign AB                  | OssDsign® - Cranial PSI- Ti and bioceramic composite         |  |

OPTIMA® HA, which is a composite with HA that shows improved bone–implant interface and reduction in fibrous tissue surrounding the implant [201].

## 9 Conclusions and future perspective

AM is highly versatile and increasingly being used for production of custom craniofacial implants of metals and polymers, although they are the two classes of biomaterials mainly used, as they provide appropriate structural replacement of the

damaged/diseased craniofacial bone tissue, they still suffer from several limitations. Specifically, they are both classified as bioinert, and in the case of metallic implants, they often lead to stress shielding phenomena with the host tissue. In this work, the current state of the art towards the development of composite-based implants and also their processing via AM have been reviewed. The main focus was dedicated to polymeric based composites reinforced with a ceramic phase. According to the findings reported in the recent literature, through the use of composites, and by adjusting the component ratios of the main phases, it is possible to achieve an



adequate balance in terms of physico-chemical, mechanical and biological properties.

Currently available *in vivo* studies, by using animal models have shown that the inclusion of HA in polymeric composites, especially PEEK, attains a material with adequate strength and most importantly with bioactive properties. Thus, PEEK/HA composites can be considered promising candidates for the development of craniofacial implants.

Regarding the opportunity to produce customised implants in the clinical setting, the major barriers towards the adoption of AM are no longer technological but rather relate to understanding the clinical effectiveness. Long-term follow-up studies on differences in success rates between different composite-based materials additively manufactured are needed toward their potential clinical application as implants. More work must be done to understand the tolerances and requirements of AM implants in a clinical setting as well as understanding the long-term implications of implanted AM parts *in vivo*. Also, materials' developers should direct efforts not only to achieve adequate biological and mechanical properties but also their easy processability via AM. From a technological development point of view, the prospects of manufacturing composites for PSIs is encouraging and further research should be addressed towards *in vivo* tests, clinical trials and regulatory approvals to incorporate into standard clinical practice. According to the United Nations, the population above 60 years is rising and would double by 2050. This will in turn increase the demands for custom implants. In the future, the high demand of customised implants may require implant manufacturing unit in every orthopaedic hospital to produce implant on-site rather than outsourcing and AM would be the manufacturing technology of choice for implants serving the patient's needs and demands. As this demand for patient-specific implants increases, the need to democratise the design and manufacture of them will become more pressing. Point of care manufacturing is already becoming a reality in several leading hospitals worldwide. These highly integrated care facilities are demonstrating the impact of bringing together material scientists, clinicians, biomedical engineers and computer scientists to produce truly personalised care for patients. Personalised design of implants ensures the best outcomes for the patient over the lifetime of the implant. Lowering overall costs of such interventions allows the broader adoption of this approach, maximising the impact.

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